Vanguard®

Client relationships

Sample

Value statement

Our commitment to you

We are dedicated to helping you achieve your investment goals by providing personal attention and quality services.

How we help you succeed

We provide cost-efficient portfolio construction, comprehensive wealth management and ongoing advice to earn your confidence and help you achieve your goals.

Understand your goals	Gather information about your situation	Evaluate your situation	Discuss options	Create and implement your plan	Monitor your plan	Discuss updates and observations
Reach your goals – Maintain confidence – Balance risk and rewards						

Our services

We offer a range of planning services and resources to help you reach your goals:

- Comprehensive portfolio management
- Periodic reviews
- Financial planning
- Investment strategies
- Ongoing investment monitoring
- Insurance solutions
- Tax planning
- Coordination with other professionals
- Wealth management
- Income distribution
- Estate planning
- Retirement planning

How we communicate with you

We offer scheduled planning reviews, regular check-ins, ongoing education and an informative client newsletter. You can also count on a response from a member of our team within 12 hours whenever you contact us with a question or concern.

Our fee structure

Our investment advisory practice is fee-based. Our annual advisory fees are calculated simply as a percentage of assets under management. Our fees are not based on trading activity or commissions. The table below reflects what our fees may look like for you.

Amount managed	Advisory fee	Fee calculation
Up to $X	X%	$X
$X to $X	X%	$X to $X
More than $X	X%	$X

Additional charges may apply based on planning needs.

Team/Office history

Founded in 1962, ABC Advisors is a diversified financial services firm headquartered in Anywhere, Canada. We focus solely on individual investors and small-business owners. Our experienced team of advisors is dedicated to helping you meet your financial goals by providing a range of quality services. From investment strategies to retirement planning, ABC Advisors offers the support you need to succeed.

Your core team

Jane C. Smith, CFP
Financial Planner
jsmith@abcadvisors.com
123-456-7890, Ext. 100

Jane Smith is a financial planner with over ten years of experience at ABC Advisors. Jane is a Certified Financial Planner™ and Registered Retirement Consultant, and holds a master's degree from Anytown University. In her spare time, Jane enjoys hiking with her husband and two daughters.

Tim J. Jones, CFP
Financial Planner
tjones@abcadvisors.com
123-456-7890, Ext. 101

Tim Jones has been with ABC Advisors for four years. He is a Certified Financial Planner™ and has completed the Canadian Securities Course. Tim holds a BS in finance and a master's degree in business from Generic University. An avid runner, Tim has completed three marathons and has recently begun training for a triathlon.

Office support

Anastasia Apple
Office Manager
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Hours: Monday to Friday, 9 a.m.–5 p.m.

Visit our Practice Management Centre for more information on Vanguard Advisor's Alpha™, wealth management and navigating client relationships.

Connect with Vanguard® > vanguardcanada.ca > 888-293-6728

WE'RE IN IT TOGETHER

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